

# TRADE UNION (UK) LIMITED

Reference number: 186171

This firm is authorised for **specific activities and product types**. It's important to check the full record for what regulated activities this firm has permission to do.

## ▲ Some activities by this firm may not be protected

This firm is shown on the Register because it is now, or was previously, approved by the FCA (or relevant regulatory body). As a result, you may be able to complain about this firm to the Financial Ombudsman Service. If this firm goes out of business owing you money you may be able to claim compensation from the Financial Services Compensation Scheme (FSCS). However, this is not always the case and these organisations may not cover some of this firm's activities. If you would like to check what is and what isn't covered, you can ask this firm to confirm this to you in writing. There's more information on the **Financial Ombudsman Service's website (<https://www.financial-ombudsman.org.uk/consumers/complaints-can-help/>)** and the **FSCS's website (<https://www.fscs.org.uk/what-we-cover/>)** about the kind of complaints and claims they can help with. The final decision on whether or not they will consider any complaint or claim is for the Financial Ombudsman Service or the FSCS.

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## Who is this firm?

### Firm details

Check details about this firm's place of business, contact details, etc.

Firms are required to confirm at least annually that these details are correct.

**The firm has confirmed its firm details in the last 12 months.**

### Address

St Clement's House  
27-28 Clement's Lane  
London  
EC4N 7AE  
UNITED KINGDOM

### Phone

+442039067347

### Website

**[trade-union.pro](https://trade-union.pro)**

### Firm reference number

186171

### Registered company number

02878581

## Firm status

Check this firm's status and any additional regulatory information.

### Status

Authorised

Since 01/12/2001

This firm is authorised for **specific activities and product types**. It's important to check the full record for what regulated activities this firm has permission to do.

### Type

Regulated

## Trading names

This firm currently trades under 11 trading names.

## Current names

Showing 10 results out of 11

Name	Type of name (Registered, Tr:	Effective from
<b>TRADE UNION (UK) LIMITED</b>	<b>Registered</b>	<b>20 Jan 2022</b>
<b>HYCM</b>	<b>Trading</b>	<b>08 Jun 2015</b>
<b>Xingyetouzi</b>	<b>Trading</b>	<b>08 Dec 2014</b>
<b>Caizhangdie</b>	<b>Trading</b>	<b>26 Feb 2014</b>
<b>HY Markets</b>	<b>Trading</b>	<b>09 Mar 2009</b>
<b>MetaPro</b>	<b>Trading</b>	<b>04 Feb 2009</b>
<b>PIPTRADE</b>	<b>Trading</b>	<b>04 Feb 2009</b>
<b>Now Forex</b>	<b>Trading</b>	<b>24 Oct 2006</b>
<b>Now Markets</b>	<b>Trading</b>	<b>24 Oct 2006</b>
<b>HY Investment</b>	<b>Trading</b>	<b>19 Apr 2000</b>

## Previous names

Showing 10 results out of 10

Name	Type of name (Registered, Trading)	Effective from	Effective to
<b>Henyp Markets</b>	<b>Trading</b>	<b>04 Aug 2017</b>	<b>08 Feb 2022</b>
<b>HY Binary Options</b>	<b>Trading</b>	<b>20 Nov 2013</b>	<b>04 Aug 2014</b>
<b>Henyp Investment</b>	<b>Trading</b>	<b>16 Apr 2010</b>	<b>08 Feb 2022</b>
<b>Henyp Investment (UK) Limited</b>	<b>Trading</b>	<b>16 Apr 2010</b>	<b>08 Feb 2022</b>
<b>Henyp Investment (UK) Limited</b>	<b>Registered</b>	<b>27 Jan 2010</b>	<b>16 Apr 2010</b>
<b>Henyp Capital Markets</b>	<b>Trading</b>	<b>19 Oct 2009</b>	<b>08 Feb 2022</b>
<b>Henyp Investment (UK) Limited</b>	<b>Registered</b>	<b>27 Oct 1999</b>	<b>27 Jan 2010</b>
<b>Hen Yep Investment (UK) Ltd</b>	<b>Registered</b>	<b>05 Oct 1999</b>	<b>27 Oct 1999</b>
<b>Henyp Capital Markets (UK) Limited</b>	<b>Registered</b>	<b>05 Oct 1999</b>	<b>20 Jan 2022</b>
<b>Hing Yep Investment (UK) Limited</b>	<b>Registered</b>	<b>03 Apr 1996</b>	<b>05 Oct 1999</b>

## How are customers protected? Protections and support

Understand the protections you have when dealing with this firm, and how to make a complaint.

### Customer protections and the Register

The Register tells you the activities the FCA has given this firm permission to carry out. The Register can only give you general information about the help from other organisations if something goes wrong when dealing with this firm. The Register does not detail the activities that the firm undertakes that do not require FCA approval.

The Financial Ombudsman Service and the Financial Services Compensation Scheme (FSCS) are the main organisations who may be able to help if something goes wrong when dealing with this firm. The Financial Ombudsman Service may be able to resolve your complaint against this firm if the firm fails to deal with it properly. The FSCS may be able to provide compensation if this firm goes out of business owing you money.

The protection The Financial Ombudsman Service and FSCS provides depends on the activity a firm is carrying out. There are also other conditions, such as needing to bring a complaint or claim within a set time period, that affect any protection you may have. The final decision to consider any specific complaint or claim is determined by the Financial Ombudsman Service or the FSCS. You should always check which activities are covered by these organisations before doing business with this firm.

## The Financial Ombudsman Service may be able to consider a complaint about this firm

If this firm fails to deal with your complaint properly, you can ask the Financial Ombudsman Service to help. But it may not be able to consider complaints about all the firm's activities. The Financial Ombudsman Service has the final decision as to whether or not it will consider a specific complaint.

You can complain to the Financial Ombudsman Service about most regulated activities and some unregulated activities. The

**Financial Ombudsman Service's website (<https://www.financial-ombudsman.org.uk/>)** has information about the type of activities you can complain about.

## **The Financial Services Compensation Scheme may be able to consider a claim against this firm if it fails**

If this firm goes out of business owing you money, you can ask the Financial Services Compensation Scheme (FSCS) to help. FSCS protection only applies to claims in connection with some regulated activities. The FSCS has the final decision as to whether or not it will consider a specific complaint. The **FSCS website (<https://www.fscs.org.uk/>)** gives you information on what it protects.

## **If you have a complaint about a firm**

If you are concerned you've been scammed: consumers in England, Wales or Northern Ireland should immediately contact **FCA Contact Centre (<https://fca.org.uk/contact>)** and **Action Fraud (<https://www.actionfraud.police.uk/>)**; consumers in Scotland should immediately contact **FCA Contact Centre (<https://fca.org.uk/contact>)** and **Police**

**Scotland. (<https://www.scotland.police.uk>)**

## Complain to the firm

Roger Bach

St Clement's House  
27-28 Clement's Lane  
London  
EC4N 7AE  
UNITED KINGDOM +442039067347

[roger.bach@trade-union.pro](mailto:roger.bach@trade-union.pro)

**[trade-union.pro/contact](https://www.trade-union.pro/contact)**

## Report to the FCA

If you have concerns about a firm listed on the Register, **[contact the FCA directly.](https://www.fca.org.uk/contact)**  
**[\(<https://www.fca.org.uk/contact>\)](https://www.fca.org.uk/contact)**

If you suspect you have been contacted by an unauthorised firm or individual carrying out an FCA-regulated activity, report it to us using  
**[this form.](https://www.fca.org.uk/report-scam-unauthorised-firm-individual)** (**<https://www.fca.org.uk/report-scam-unauthorised-firm-individual>**)

## Help using the Register

If you have any concerns or difficulties using the Register you can contact the FCA and we will talk you through the record and answer any queries you may have.

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## What can this firm do in the UK?

### Restrictions

Check the requirements placed on this firm. Requirements are restrictions governing the regulated activities that this firm can do.



### Client Money

This firm must protect the money it holds and/or controls on behalf of customers. It cannot lend this money or use it to finance its own business.

Specific requirements may change this firm's ability to hold and/or control client money – see below for details.

## Activities and services

Learn about the regulated activities that this firm has FCA and/or PRA permission to provide and other information related to specific non-regulated activities and services that may impact your business with a firm.

### What to do with this information

These regulated activities are grouped into categories. You should check the specific activities within these categories relate to the business you want to do with the firm, not just the categories.

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Once you have checked this page, you should contact the firm via their main contact details and ask them to confirm their permitted regulated activities.

If you don't understand whether the permissions cover the business you want to do, you should **contact the FCA.** (<https://www.fca.org.uk/contact>)

## Investments

### Dealing in investments as principal LIMITATIONS

## Customer Type

Eligible Counterparty  
Professional  
Retail (Investment)

## Investment Type

Commodity option and option on commodity future  
Contract for Differences (excluding a spread bet and, a rolling spot forex contract and a binary bet)  
Option (excluding a commodity option and an option on a commodity future)  
Rights to or interests in investments (Contractually Based Investments)  
Rolling spot forex contract Spread  
Bet

## Limitation

**Rights/interests - cont ltd to cont based inv** : Investment activity in "rights to or interests in investments (contractually based)" is limited to investment types granted for this activity.

## Other activities

### Agreeing to carry on a regulated activity

#### LIMITATIONS

#### Limitation

**Limited to carry on regulated activities.** : The firm can only agree to carry on the regulated activities specified in this Notice.

## Who is involved with activities at this firm?

### Individuals

Individuals currently and previously involved in regulated activities at this firm.

You should check the details of any individual that you want to carry out regulated activities on your behalf, especially their current roles and any disciplinary or regulatory action on their record.

#### Current

Showing 4 results out of 4

Name	Individual reference number	Status	Role
<b><u>Roger Andrew Bach</u></b>	<b>RAB01187</b>	<b>Approved by [FCA CF] Significant management regulator</b>	<b>SMF1 Chief Executive</b>
		<b>Certified / assessed by firm</b>	<b>SMF3 Executive Director</b>
<b><u>Suhayl Dadabhai</u></b>	<b>SXD15747</b>	<b>Approved by regulator</b>	<b>[PRA CF] Key function holder</b>
		<b>Certified / assessed by firm</b>	<b>SMF17 Money Laundering Reporting Officer (MLRO)</b>
<b><u>AIKATERINI OIKONOMOU</u></b>	<b>AXO01638</b>	<b>Approved by regulator</b>	<b>[PRA CF] Key function holder</b>
		<b>Certified / assessed by firm</b>	<b>SMF16 Compliance Oversight</b>

**Marcin Piotr Swanson-Zajac**

**MPS01065**

**Certified / assessed [FCA CF] CASS oversight function by firm**

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## Previous

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Name	Individual reference number	Role
<b><u>Ravindra Chanaka Warnapura</u></b>	<b>RCW01087</b>	<b>CF10 Compliance Oversight</b> <b>CF11 Money Laundering Reporting</b>
<b><u>Andrew James Henderson</u></b>	<b>AJH01292</b>	<b>CF21 Investment Adviser</b> <b>CF26 Customer Trading</b>
<b><u>Stuart James Cooke</u></b>	<b>SJC01301</b>	<b>CF26 Customer Trading</b>
<b><u>Mark Gemma</u></b>	<b>MXG01272</b>	<b>CF26 Customer Trading</b> <b>CF30 Customer</b>
<b><u>Harry Charles William Holman</u></b>	<b>HCH01013</b>	<b>CF26 Customer Trading</b>
<b><u>Christopher Laurence Thomas</u></b>	<b>CLT01020</b>	<b>CF21 Investment Adviser</b>
<b><u>Rui Jin</u></b>	<b>RXJ01209</b>	<b>CF26 Customer Trading</b> <b>CF30 Customer</b>
<b><u>Roger Andrew Bach</u></b>	<b>RAB01187</b>	<b>CF1 Director</b> <b>CF3 Chief Executive</b> <b>CF8 Apportionment and Oversight</b> <b>CF10 Compliance Oversight</b>
<b><u>Suhayl Dadabhai</u></b>	<b>SXD15747</b>	<b>CF11 Money Laundering Reporting</b>
<b><u>AIKATERINI OIKONOMOU</u></b>	<b>AX001638</b>	<b>CF10 Compliance Oversight</b>

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# Who is this firm connected to?

## Regulators

Current and former regulators of this firm.



This table shows the former and current regulators of this firm, in the UK and in other European Economic Area (EEA) countries. Showing 3 results out of 3

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Regulator	Effective from	Effective to
<b>Financial Conduct Authority</b>	<b>01 Apr 2013</b>	
<b>Financial Services Authority</b>	<b>01 Dec 2001</b>	<b>31 Mar 2013</b>
<b>Securities and Futures Authority</b>	<b>18 Feb 1998</b>	<b>30 Nov 2001</b>